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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/888,060	06/25/2001	Kwon Hong	11349-P66792US0

00136
JACOBSON HOLMAN PLLC
400 SEVENTH STREET N.W.
SUITE 600
WASHINGTON, DC 20004

CONFIRMATION NO. 6978
FORMALITIES LETTER



OC00000006429138

Date Mailed: 08/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

I have read this notice

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

10/01/2001 EABUBAK1 00000032 09888060

01 FC:101
02 FC:105

710.00 OP
130.00 OP



SECTOR
HFS

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Kwon HONG, et al.

Serial No.: 09/888,060

Examiner: Not Yet Assigned

Filed: June 25, 2001

Group Art Unit: 2812

For: (Amended) SEMICONDUCTOR MEMORY DEVICE HAVING A PLUG
CONTACTED TO A CAPACITOR ELECTRODE AND METHOD FOR
FABRICATING THE CAPACITOR

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION UNDER 37 C.F.R. §1.53(b)

Box Missing Parts
Honorable Commissioner for Patents
Washington, D.C. 20231

September 27, 2001

Attention: APPLICATION BRANCH
MISSING PARTS OF APPLICATION

Sir:

With respect to the above-identified patent application, the following are filed herewith in response to the Notice to File Missing Parts of Nonprovisional Application under 37 C.F.R. §1.53(b), mailed August 15, 2001, a copy attached.

- (1) The statutory basic filing fee in the amount of \$710.00;
- (2) Late filing fee in the amount of \$130.00;
- (3) Declaration in compliance with 37 C.F.R. §1.63; and
- (4) Assignment Document and cover letter, with \$40.00 fee for recordation of Assignment.

A check in the amount of \$880.00, is enclosed to cover the filing fee, late filing surcharge and recordation fee of Assignment document. The Commissioner is hereby authorized to

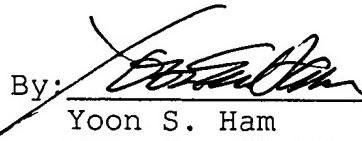
debit or credit any fees set forth in §1.16 or §1.17 to Deposit Account No. 06-1358 as needed in order to effect proper filing of the application. A duplicate copy of this sheet is enclosed.

If a Petition for Extension of time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge and fees necessary under 37 CFR 1.17 (a)-(d) to Deposit Account No. 06-1358. The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1358.

Respectfully submitted,

JACOBSON HOLMAN, PLLC

By:


Yoon S. Ham
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Atty. Docket No.: P66792US0
YSH:ecl